



Attorney Docket No: SIEB012/01US

PATENT

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL**

1. Transmitted herewith for filing is a U.S. Non-Provisional Utility Patent Application entitled: **METHOD, SYSTEM, APPARATUS AND PROGRAM PRODUCT FOR DISTRIBUTION AND INSTANTIATION OF SOFTWARE UPGRADES**

naming as inventors:

**Gilberto Arnaiz;  
Robert A. Brodersen;  
Prashant Chatterjee;  
Peter S. Lim  
Duane Wandless**

and including:

- ☒ (35) pages of description (before the claims);  
☒ (12) pages of claims ((52) total claims; (25) independent claims);  
☒ (1 ) Sheet of Abstract; and  
☒ (4) sheets of ☐ informal ☒ formal drawing(s) including Figures 1-5.

2. Also enclosed are:

- ☐ ☐ executed ☐ unexecuted Declaration  
☐ Assignment and Assignment Recordation Cover Sheet  
☐ Power of Attorney  
☐ Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9 and 1.27  
☐ Information Disclosure Statement  
☐ Preliminary Amendment  
☐ Bibliographic data entry sheet  
☐ No formal documents are being submitted at this time.

3. ☐ Please amend the specification by inserting before the first line the sentence: --  
This application claims priority under 35 U.S.C. §§119 and/or 365 to \_\_\_\_\_ filed  
in \_\_\_\_\_ on \_\_\_\_\_, the entire content of which is hereby incorporated by  
reference.--

09/01/00 09/653706

4. ☒ Please amend the specification by inserting before the first line the sentence:

--This application claims priority under 35 U.S.C. §120 and/or §365 to PCT International Application Serial No. PCT/US99/04581 filed March 3, 1999, which in turn claims priority to U.S. Provisional Application No. 60/076,667 filed March 3, 1998, each of which is incorporated herein in its entirety by reference.--

5. The filing fee has been calculated as follows ☐ and in accordance with the enclosed preliminary amendment:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00
Total Claims	52	- 20 =	32	x \$18.00	576.00
Independent Claims	25	- 3 =	22	x \$78.00	1,716.00
If multiple dependent claims are presented, add \$260.00					
Total Application Fee					2,982.00
If a statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					0.00
Other fees: (specify)					
<b>TOTAL FEE DUE</b>					<b>2,982.00</b>

☒ This application is being filed without a filing fee.

☐ Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ for the total fee is attached.

☐ Please charge \$\_\_\_\_\_ to Deposit Account No. 50-1283 for the total fee.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

6. Please direct all correspondence concerning this application to:

Fax: (703) 456-8100

CUSTOMER NUMBER: **022903**



Dated: 7/1/00

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Respectfully submitted,  
COOLEY GODWARD LLP

By:

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